

Attorney Docket No. 728-189 (YOR9-2000-0756)

In re application of: HSU et al.

Serial No.: 09/769,080

Group: Art Unit 2827

Filed: January 25, 2001

Examiner: Chambliss, Alonzo

For: **METHOD AND APPARATUS FOR GLOBALLY  
ALIGNING THE FRON AND BACK SIDES  
OF A SUBSTRATE**

Date: August 23, 2002

Box Fee  
Commissioner for Patents  
Washington, D.C. 20231**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

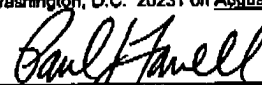
	(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDIT. FEE	OR RATE ADDIT. FEE
TOTAL	34	MINUS	32	= 2	X 9	\$		X 18 \$ 36
INDEP.	2	MINUS	4	= 0	X 44	\$		X 84 \$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					X 135	\$		X 280 \$ 0
					TOTAL		OR TOTAL	\$ 36
					ADDIT. FEE	\$36.00		

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Box Fee, Commissioner for Patents, Washington, D.C. 20231 on August 23, 2002Dated: August 23, 2002
  
 Paul J. Farrell

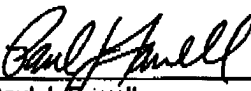
☒ Please charge Deposit Account No. 50-0510/IBM(Yorktown Heights) in the amount of \$36.00. Two (2) copies of this sheet are enclosed.

☐ A check in the amount of \$0 is enclosed.

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM(Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM(Yorktown Heights) therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

**DILWORTH & BARRESE, LLP**  
333 Earle Ovington Blvd.  
Uniondale, NY 11553  
(516) 228-8484

  
Paul J. Farrell  
Reg. No. 33,494  
Attorney for Applicant

703-746-3857



**DILWORTH & BARRESE, LLP**  
333 Earle Ovington Blvd.  
Uniondale, NY 11553

USPS 2002 recycled

**PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:**

1. Amendment Transmittal Form (in triplicate)
2. Amendment Under 37 C.F.R §1.111  
(Deposit Acct. charged \$36.00 for additional filing fee)
3. Return Postcards - two (2)

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Docket: 728-189 (YOR9-2000-0756)  
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PJF/EP/lah

*Attn Examiner Chambliss*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): HSU et al. Examiner: Chambliss, Alonzo  
Serial No.: 09/769,080 Group Art Unit: 2827  
Filed: January 25, 2001 Docket: 728-189 (YOR9-2000-0756)  
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AND BACK SIDES OF A SUBSTRATE**

Box Fee  
Commissioner for Patents  
Washington, D.C. 20231

**AMENDMENT UNDER 37 C.F.R. §1.111**

Sir:

In response to the Office Action dated May 23, 2002, please consider the following amendments and remarks.

**IN THE DRAWINGS**

A separate drawing page having corrected Figure 4 is attached. Reference number 28 now points to the first circuit system. Acceptance of corrected Figure 4 is requested.

**IN THE TITLE:**

Please change the title to: "SEMICONDUCTOR DEVICE UTILIZING  
ALIGNMENT MARKS FOR GLOBALLY ALIGNING THE FRONT AND BACK  
SIDES OF A SEMICONDUCTOR SUBSTRATE".

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner of Patents, Washington, DC 20231

Dated: August 23, 2002

  
Paul J. Farrell